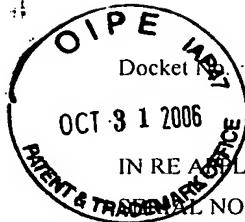


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Docket No. 295731US0PCT

OCT 31 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Tomoaki TSUJI, et al.

APPLICATION NO: 10/594,131

GAU:

FILED: September 25, 2006

EXAMINER:

FOR: BISPHOSPHITE AND PROCESS FOR PRODUCING ALDEHYDE COMPOUND WITH THE BISPHOSPHITE

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- The applicant(s) wish to make of record the references cited in the International Search Report and listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.

Surinder Sachar

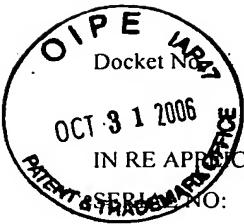
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Surinder Sachar
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INVENTOR'S NAME NO: 10/594,131

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CERTIFICATION

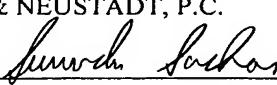
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|--|---|--|------------|---|----------------------------|--|
| LIST OF REFERENCES CITED BY APPLICANT | | APPLICANT Tomoaki TSUJI, et al. | | | | |
| | | FILING DATE September 25, 2006 | | GROUP | | |
| U.S. PATENT DOCUMENTS | | | | | | |
| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB CLASS |
| | AA | 2004 0199023 | 10/07/2004 | WHITEKER et al. (WO 2004 094442) | | |
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| | | DOCUMENT NUMBER | DATE | COUNTRY | TRANSLATION YES NO | |
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| AW | CHRISTOPHER J. COBLEY, et al., "SYNTHESIS AND APPLICATION OF A NEW BISPHOSPHITE LIGAND COLLECTION FOR ASYMMETRIC HYDROFORMYLATION OF ALLYL CYANIDE", J. ORG. CHEM., Vol. 69, pages 4031-4040, 2004. | | | | | |
| AX | | | | | | |
| AY | | | | | | |
| AZ | | | | | | <input type="checkbox"/> Additional References sheet(s) attached |
| Examiner | | | | | Date Considered | |
| *Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | | | | | | |